

## FATCA/CRS Declaration Form – (Individual)

To: The Branch Head  
 Bharat Co-operative Bank (Mumbai) Ltd.  
 \_\_\_\_\_  
 Branch.

Customer ID (CIF)	
Name	
Account Relationship	<input type="checkbox"/> Primary Holder <input type="checkbox"/> Joint Holder <input type="checkbox"/> Mandate Holder
Residential Status	<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident

### PART-I : Country/Place/City

1. Country of Birth	
2. Place/City of Birth	
3. Citizenship/Nationality	
4. Residence for Tax Purposes	(Name of the Country)
5. Current Residence	(Overseas Country for NRI)
6. US Person ? *	<input type="checkbox"/> Yes <input type="checkbox"/> No

If in all fields above in PART-I, the country mentioned by you is India (except in case of seafarers) and if you do not have US person status, please proceed to Part III for signature, else provide the information mentioned in PART-II below.

### PART-II : TIN or Functional Equivalent

	Number	Country of Issue
<input type="checkbox"/> TIN		
<input type="checkbox"/> Functional equivalent of TIN**		

If any of the country mentioned by you in PART-I is not India and/or if your US person status is YES, but you do not have Taxpayer Identification Number/equivalent, then please select the reason for the same in as given below:

#### I am a person resident out of India with

- Country does not issue TIN or its functional equivalent. My Visa / Residence / Work permit number is \_\_\_\_\_ (please strike out whichever is not applicable)
- Dependent visa No. \_\_\_\_\_
- Student visa No. \_\_\_\_\_
- Seafarer status \_\_\_\_\_ (mention CDC/visa number)
- Going to the country of residence for first time. My visa No. \_\_\_\_\_  
 TIN/functional equivalent to be communicated to the bank within 90 days, else account will get closed).

**OR**

#### I am a person resident in India as well as resident for tax purposes in India

*(Please also fill PART-IV-Self certification)*

In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reason/s for not having relinquishment certificate : \_\_\_\_\_

Please also fill Part IV Self-Certification.

**PART-III : Customer Declaration (Applicable to all customers)**

- (i) Under penalty of perjury, I certify that I am:
  - an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S.
  - an estate the income of which is subject to U.S. federal income tax regardless of the source thereof.
  - taxable as a tax resident under the laws of country outside India.
- (ii) I understand that the Bank is relying on this information for the purpose of determining my status in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact. I shall seek advice from professional tax advisor for any tax questions.
- (iii) I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- (iii) I agree that as may be required by domestic regulators/tax authorities the Bank may also be required to inform reportable details to CDBT or close or suspend my account.
- (iv) The information provided in the Form is in accordance with section 285BA of Income Tax Act,1961 read with Rules 114F to 114H of the Income Tax Rules 1962 .
- (v) I certify that I provide the information on this form and to the best of my knowledge and belief the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.
- (vi) I/We permit/authorise Bharat Co-operative Bank(Mumbai) Ltd (Bank) to collect, store, communicate and process information relating to the Account and all transactions therein, by the Bank and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- (vii) I/We shall indemnify the Bank for any loss that may arise to the Bank on account of incorrect/incomplete information provided by me/us.

Signature :

Name :

Date (DD/MM/YYYY) :

**PART-IV : Self-Certification (Not Applicable for NRI customers except for point (b) below):**

To be filled only if-

- (a) Any of the indicated parameters is outside India and TIN or functional equivalent is not available since not a resident for tax purpose outside India, or
- (b) Country of Birth is US and US person is mentioned as "No" in Part I

I confirm that I am not a US person or a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and / or residency.

Signature

Document proof(s) submitted:

- Passport      Election Id Card      PAN Card      Driving License
- Aadhaar Card   NREGA Job Card   Govt. Issued ID Card

NOTES:

\*The term United States person means:

- a. an individual, being a citizen or resident of the United States of America;
- b. partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;
- c. a trust if: i. a court within the United States of America would have authority under applicable law to render orders or judgements concerning substantially all issues regarding administration of the trust; and ii. one or more U.S. persons have the authority to control all substantial decisions of the trust;
- d. an estate of a decedent who was a citizen or resident of the United States of America.

\*\*Functional Equivalent of TIN includes the following:

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.